

## Internal Audit Annual Plan Monitoring Report - 2006/07

## Appendix A

As At 18 August 2006

		Base Plan	+/- Adjustments	+ WIP Bfwd	- WIP Cfwd	Current Plan	Days to Date	Days Remaining
<b>Category: Advice and Support</b>								
F001	Advice	100.0	0.0	0.0	0.0	100.0	31.7	68.3
K001	General Support Work	25.0	0.0	0.0	0.0	25.0	13.4	11.6
K002	Project Support	60.0	0.0	0.0	0.0	60.0	57.3	2.7
<b>Totals for Category: Advice and Support</b>		<b>185.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>185.0</b>	<b>102.4</b>	<b>82.6</b>
<b>Category: Audit Planning and Monitoring</b>								
Y001	Audit Planning and Monitoring	30.0	0.0	0.0	0.0	30.0	14.8	15.2
Y002	Committee Work	15.0	0.0	0.0	0.0	15.0	10.0	5.0
<b>Totals for Category: Audit Planning and Monitoring</b>		<b>45.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>45.0</b>	<b>24.9</b>	<b>20.1</b>
<b>Category: Computer Audits</b>								
D301	Information & Communications Technology Audit	50.0	0.0	0.0	0.0	50.0	12.5	37.5
<b>Totals for Category: Computer Audits</b>		<b>50.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>50.0</b>	<b>12.5</b>	<b>37.5</b>
<b>Category: Contingencies</b>								
S001	Investigations	35.0	0.0	0.0	0.0	35.0	13.4	21.6
S002	Provision for Follow Up Reviews	70.0	0.0	0.0	0.0	70.0	25.8	44.2
S004	General Contingency	45.0	0.0	0.0	0.0	45.0	0.0	45.0
<b>Totals for Category: Contingencies</b>		<b>150.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>150.0</b>	<b>39.2</b>	<b>110.8</b>
<b>Category: Efficiency &amp; Value For Money</b>								
V001	Efficiency & VFM Strategies	20.0	0.0	0.0	0.0	20.0	0.0	20.0
V002	Efficiency & VFM Projects	20.0	0.0	0.0	0.0	20.0	0.0	20.0
V003	Specific Efficiency & VFM Reviews	20.0	0.0	0.0	0.0	20.0	0.0	20.0
<b>Totals for Category: Efficiency &amp; Value For Money</b>		<b>60.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>60.0</b>	<b>0.0</b>	<b>60.0</b>

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<b>Category: Major Financial Systems</b>								
A001	Housing Rents	5.0	0.0	7.0	0.0	12.0	7.4	4.6
D001	Sundry Debtors	4.0	0.0	3.8	0.0	7.8	3.8	4.0
D003	Housing Benefits & Council Tax Benefits	25.0	0.0	0.5	0.0	25.5	0.5	25.0
D006	Income Management	15.0	0.0	0.0	0.0	15.0	3.8	11.2
D009	Council Tax	4.0	0.0	1.6	0.0	5.6	1.6	4.0
D010	NNDR	4.0	0.0	0.0	0.0	4.0	0.0	4.0
D505	Creditor Payments	4.0	0.0	0.0	0.0	4.0	0.0	4.0
D509	Payroll	4.0	0.0	9.1	0.0	13.1	10.1	3.0
D514	Treasury Management	4.0	0.0	0.0	0.0	4.0	0.0	4.0
D527	Main Accounting	0.0	0.0	5.0	0.0	5.0	5.0	0.0
D528	Asset Management	15.0	0.0	0.0	0.0	15.0	0.0	15.0
M008	Fraud & Corruption	22.0	0.0	0.0	0.0	22.0	11.1	10.9
<b>Totals for Category: Major Financial Systems</b>		<b>106.0</b>	<b>0.0</b>	<b>27.0</b>	<b>0.0</b>	<b>133.0</b>	<b>43.4</b>	<b>89.6</b>
<b>Category: Management Arrangements</b>								
B111	Transport Policy & Strategy	15.0	0.0	0.0	0.0	15.0	1.8	13.2
G301	Contract Management	0.0	5.0	0.0	0.0	5.0	2.2	2.8
M007	Corporate Governance	40.0	0.0	0.0	0.0	40.0	10.5	29.5
M009	Health & Safety	0.0	0.0	6.2	0.0	6.2	7.5	-1.3
M013	Performance Management	25.0	0.0	15.6	0.0	40.6	15.6	25.0
M015	Partnership Arrangements	20.0	0.0	0.0	0.0	20.0	3.3	16.7
M016	Legality Issues	15.0	0.0	0.0	0.0	15.0	0.0	15.0
M018	Risk Management	20.0	0.0	0.0	0.0	20.0	0.0	20.0
M022	Project Management	0.0	0.0	0.2	0.0	0.2	0.2	0.0
M023	Communication	0.0	0.0	14.0	0.0	14.0	15.1	-1.1
P001	Workforce Management Strategy	15.0	0.0	0.0	0.0	15.0	0.0	15.0
<b>Totals for Category: Management Arrangements</b>		<b>150.0</b>	<b>5.0</b>	<b>36.0</b>	<b>0.0</b>	<b>191.0</b>	<b>56.3</b>	<b>134.7</b>

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<b>Category: Non Audit Work</b>								
H001	Non Audit Duties	5.0	0.0	0.0	0.0	5.0	1.7	3.3
<b>Totals for Category: Non Audit Work</b>		<b>5.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>5.0</b>	<b>1.7</b>	<b>3.3</b>
<b>Category: Operational Audits</b>								
A012	Housing Repair & Maintenance Services	12.0	0.0	0.0	0.0	12.0	1.2	10.8
A052	Renewal (Strategic Housing)	15.0	0.0	0.0	0.0	15.0	0.1	14.9
A054	Homelessness & Housing Advice	12.0	0.0	0.0	0.0	12.0	4.4	7.6
B003	Street Cleansing	15.0	0.0	0.0	0.0	15.0	0.0	15.0
B004	Refuse Collection & Recycling	15.0	0.0	0.0	0.0	15.0	0.0	15.0
B011	Environmental Protection	15.0	0.0	0.0	0.0	15.0	0.0	15.0
B051	Licensing	12.0	0.0	0.0	0.0	12.0	0.0	12.0
B102	Car Parking- Provision & Control	10.0	0.0	0.0	0.0	10.0	0.4	9.6
C002	Building Control	10.0	0.0	0.0	0.0	10.0	0.0	10.0
C110	Tourism - Promotion & Facilities	12.0	0.0	0.0	0.0	12.0	2.0	10.0
C261	Regeneration & Business Development (inc SRB and EDZ)	0.0	0.0	5.8	0.0	5.8	5.8	0.0
D160	Elections & Electoral Registration	10.0	0.0	0.0	0.0	10.0	2.3	7.7
D161	Facilities Management	15.0	0.0	1.0	0.0	16.0	0.0	16.0
<b>Totals for Category: Operational Audits</b>		<b>153.0</b>	<b>0.0</b>	<b>6.8</b>	<b>0.0</b>	<b>159.8</b>	<b>16.3</b>	<b>143.5</b>
<b>Category: Secondary Financial Systems</b>								
D516	Value Added Tax	10.0	0.0	0.0	0.0	10.0	2.7	7.3
D517	Income Tax and National Insurance	0.0	0.0	4.3	0.0	4.3	4.3	0.0
E010	Stores	10.0	0.0	0.0	0.0	10.0	7.9	2.1
<b>Totals for Category: Secondary Financial Systems</b>		<b>20.0</b>	<b>0.0</b>	<b>4.3</b>	<b>0.0</b>	<b>24.3</b>	<b>14.9</b>	<b>9.4</b>
<b>Grand Totals:</b>		<b>924.0</b>	<b>5.0</b>	<b>74.1</b>	<b>0.0</b>	<b>1003.1</b>	<b>311.6</b>	<b>691.5</b>